

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
10269-11CIP
(HSX/001CIP2)APPLN. NO.
09/382,907SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Timothy Maxwell Keiser,
et al.CONF. NO.
5840FILING DATE
August 25, 1999GROUP ART UNIT
3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
QJB	3,499,646	3/1970	Burgess, Jr. et al.	273	1	
QJB	3,573,747	04/1971	Adams, C. et al.	340	172.5	
QJB	4,597,046	6/1986	Musmanno et al.	364	408	
QJB	4,903,201	02/1990	Wagner, S.	364	408	
QJB	4,980,826	12/1990	Wagner, S.	364	408	
QJB	5,270,922	12/1993	Higgins	364	408	
QJB	5,297,032	3/1994	Trojan et al.	364	408	
QJB	5,375,055	12/1994	Togher et al.	364	408	
QJB	5,508,913	04/1996	Yamamoto, K. et al.	364	408	
QJB	5,537,314	07/1996	Kanter	364	406	
QJB	5,692,233	11/1997	Garman, M.	705	36	
QJB	5,724,524	03/1998	Hunt et al.	395	237	
QJB	5,727,165	03/1998	Ordish, C. et al.	395	237	
QJB	5,905,974	05/1999	Fraser et al.	705	37	
QJB	6,578,010	06/2003	Teacherson	705	14	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
QJB	WO98/58333A1	12/1998	PCT				
QJB	WO01/39056A1	5/2001	PCT				
QJB	WO01/16825A1	03/2001	PCT				
QJB	DE3539545A1	07/1986	Germany				

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EXAMINER INITIAL	
QJB	Amex.com, The Street.com, Across the Street: Buying Stock in Hollywood, Jeffrey L. Newman, November 17, 1997, 2 pgs.
QJB	Cones; 43 Ways to Finance Your Feature Film. 1995. pages 47 and 45.
QJB	Cones, John W. and Wilke, Pete, "Investor Financing of Independent Film", 1998.
QJB	Engineers, Hollywood Stock Exchange, printed on 11/13/97, 2 pgs.

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QJB RL - Boyce

DATE CONSIDERED

3/8/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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QFB	Jack Feuer: Disc Driven. Findarticles.com; July 12, 1999.
QFB	Insider Trading - Hollywood Style, Entrepreneurial Edge Online, OnlinePress.com, Matthew Ragas, February 4, 1998, 3 pgs.
QFB	The Internet Bull Market in Movies, Newsweek, Yuhlin Chang, February 3, 1997, pgs. 3.
QFB	Lights, Camera, Capital On-line Investing, Debra Kaufman, WIRED Magazine, May 2001, 1 sheet.
QFB	MediaX and CMC International Records Team Up for Exclusive Download Campaigns with Major Artists. PR Newswire, Dec. 9, 1999.
QFB	"A New Craze for March's Maddest: Online 'Trading' of NCAA Shares", Barbara Martinez, The New York Times Company: Abstracts, March 8, 1994.
QFB	The New York Times, The Hollywood Stock Market: You Can't Lose, Laura Pedersen-Pietersen, January 11, 1998, 3 pgs.
QFB	The Rogue Market Journal, The Power Play on Popular Culture, A Letter from the Editor, print on 12/31/96, 18 pgs.
QFB	SunSpot Maryland's Online Community, Taking Stock at the Box Office, Alex Dubin, printed on 8/19/97, 5 pgs.
QFB	VENTURA COUNTY REVIEW; The Los Angeles Times; Dec. 15, 1998.
QFB	Ye, Jia, "An Investigation of Market Fragmentation and the Specialist's Quotation Strategy (Information Risk, Liquidity, Bid Ask Spread", 1995, Volume 57/03-A of Dissertation Abstracts International, p. 1260, 97 pgs.
QFB	American Film Market; Hollywoodnet.com; 1997, http://www.hollywoodnet.com/conferences/afm97.htm
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QFB	Avary's Domain - Interviews - Multimater Magazine Interview, Mar. 3, 1994; pages 9-10, http://www.avary.com/killingzoe/details/interview.kz.millimetermag.html
QFB	Canadians turn to co-finance, pre-sales for TV prod'n coin. Findarticles.com, Jan. 19, 1998, http://www.findarticles.com/cf_0/m1312/n10_v369/20371093/print.jhtml

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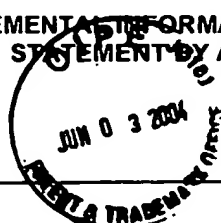
QFB - Bayse

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
QJB	FILM FINANCES, INC. The World Leader in Completion Guarantees. October, 1999 Internet print-out
QJB	FILM FINANCES, INC. The World Leader in Completion Guarantees. Sample Completion Guaranty; August, 2000 Internet print-out

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